

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

09/830694

ATTORNEY DOCKET NUMBER IN-5484	U.S. APPLICATION NO (IF KNOWN SEE 37 RR 15)	
INTERNATIONAL APPLICATION NO. PCT/EP99/08063	INTERNATIONAL FILING DATE 25 Oct ber 1999 (25.10.99)	PRIORITY DATE CLAIMED 31 Oct ber 1998 (31.10.98)
TITLE OF INVENTION: POWDER CLEARCOAT MATERIAL AND AQUEOUS POWDER CLEARCOAT SLURRY		

APPLICATION(S) FOR DO/EO/US: **Heinz-Peter RINK
Werner Alfons JUNG**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
6. A translation of the International Application into English (35 U.S.C. 371(C)(2)).
7. Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. A translation of the annex to the International Preliminary Examination Report under PCT Article 36

Items 11. to 16. below concern other document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. A FIRST preliminary amendment.
 A SECOND or SUBSEQUENT preliminary amendment.
14. A substitute specification.
15. A Change of power of attorney and/or address letter.
16. Other items or information:

A copy of the cover sheet from the PCT Published Application

A copy of the cover page of the Priority Document

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. **EK157042270US** addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on April 27, 2001.



Marjorie Ellis

U.S. APPLICATION NO. <i>09/830694</i>	INTERNATIONAL APPLICATION NO. PCT/EP99/08063	ATTORNEY'S DOCKET NUMBER IN-5484																																																			
17. <input checked="" type="checkbox"/> The following fees are submitted Basic National F (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.44(a)(2)) paid to USPTO..... \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$670.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 96.00		CALCULATIONS PTO USE ONLY																																																			
ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00 Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$130.00 <table border="1"> <tr> <td>Claims</td> <td>Number Filed</td> <td>Number Extra</td> <td>Rate</td> </tr> <tr> <td>Total Claims</td> <td>29 - 20 =</td> <td>09</td> <td>X \$18.00 \$162.00</td> </tr> <tr> <td>Independent claims</td> <td>05 - 03 =</td> <td>02</td> <td>X \$80.00 \$160.00</td> </tr> <tr> <td>Multiple dependent claims(s) (if applicable)</td> <td></td> <td></td> <td>+ \$270.00 \$</td> </tr> <tr> <td colspan="4">TOTAL OF ABOVE CALCULATION = \$1,312.00</td> </tr> <tr> <td colspan="4">Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). \$</td> </tr> <tr> <td colspan="4">SUBTOTAL = \$1,312.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$</td> </tr> <tr> <td colspan="4">TOTAL NATIONAL FEE = \$1,312.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$</td> </tr> <tr> <td colspan="4">TOTAL FEES ENCLOSED = \$1,312.00</td> </tr> <tr> <td colspan="3">Amount to be: refunded</td> <td>\$</td> </tr> <tr> <td colspan="3">Charged</td> <td>\$1,312.00</td> </tr> </table>			Claims	Number Filed	Number Extra	Rate	Total Claims	29 - 20 =	09	X \$18.00 \$162.00	Independent claims	05 - 03 =	02	X \$80.00 \$160.00	Multiple dependent claims(s) (if applicable)			+ \$270.00 \$	TOTAL OF ABOVE CALCULATION = \$1,312.00				Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). \$				SUBTOTAL = \$1,312.00				Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$				TOTAL NATIONAL FEE = \$1,312.00				Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$				TOTAL FEES ENCLOSED = \$1,312.00				Amount to be: refunded			\$	Charged		
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- A check in the amount of \$ _____ to cover the above fees is enclosed.
- Please charge my Deposit Account No. 23-3425 in the amount of **\$1,312.00** to cover the above fees
A triplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3425. A triplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
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Anne G. Saburin
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Anne G. Saburin
Name
33,772
REGISTRATION NUMBER

09/830694

JC08 and PCT/PTO

27 APR 2001

PATENT

(Practitioner's Docket No. IN-5484)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Heinz-Peter RINK
Werner Alfons JUNG

Serial No.: This application is a National
Phase of Patent Application
PCT/EP99/08063/ filed 25 October1999.

Group Art Unit: Not Assigned

Filed: April 27, 2001

Examiner: Not Assigned

For: POWDER CLEARCOAT MATERIAL AND AQUEOUS POWDER CLEARCOAT
SLURRY

Assistant Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EK157042270US Date of Deposit April 27, 2001

I hereby certify that the following attached paper or fee

German Application PCT/EP 99/08063
Specification on

52	pages;
01	page(s);

 claims on

07	pages;
00	page(s).

the abstract on

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Marjorie Ellis

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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PATENT

JC08 Rec'd PCT/PTO
(Practitioner's Docket No. IN-5484)

09/830694
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Heinz-Peter RINK
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Serial No.: This application is a National
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Cover page of Published PCT-application

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Marjorie Ellis

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